

TONGANI TEA COMPANY LIMITED

CIN : L01132WB1893PLC000742

14th October, 2024

The Secretary
The Calcutta Stock Exchange Ltd.
7, Lyons Range,
KOLKATA – 700 001.

Re : Compliance Certificate for the quarter ended September, 2024

Dear Sir,

Pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, we are submitting herewith Certificate dated 14-10-2024, issued by Practising Company Secretary, for the quarter ended 30th September, 2024.

We trust that you will find the same in order.

Thanking you,

Yours faithfully,
For TONGANI TEA COMPANY LIMITED

ARINDAM ROYCHOWDHURY
Company Secretary & Compliance Officer

Encl.: As above.



COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 30TH SEPTEMBER, 2024
(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, CS AJAY KUMAR AGARWAL, Proprietor of Agarwal A & Associates Practicing Company Secretaries appointed by Tongani Tea Company Limited ('the Company'), am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that

1. The Company has a Structured Digital Database in place
2. Control exists as to who can access the SDD
3. All the UPSI disseminated in the previous quarter have been captured in the Database
4. The system has captured nature of UPSI along with date and time
5. The database has been maintained internally and an audit trail is maintained
6. The database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture one number of events during the quarter ended and has captured one number of the said required events.

I would like to confirm that there were no non-compliance(s) observed in the previous quarter.

This report has to be read with Annexure-I which is annexed therewith and forms an integral part of this report.

Place: Kolkata
Date: 14.10.2024

For **Agarwal A & Associates**
Company Secretaries

**AJAY KUMAR
AGARWAL**

Digitally signed by AJAY KUMAR AGARWAL
DN: cn=AJAY KUMAR AGARWAL, o=AGARWAL, email=ajay@agarwal.com, c=IN
c=IN, o=AGARWAL, email=ajay@agarwal.com, c=IN
SerialNumber=A88004F7B9A3E0C0E1E1C927F8BA3D
A29F0E2099808899A12ECC0E01, cn=AJAY KUMAR
AGARWAL
Date: 2024.10.14 13:09:22 +05'30'

CS Ajay Kumar Agarwal
Proprietor
(C.P.No.13493/M.No.F7604)
PEER REVIEW NO. 1592/2021

UDIN NO.: F007604F001543402

Annexure-I

Our compliance certificate of even date is to be read along with this letter:

- i. Maintenance of Structural Digital Database (SDD) is the responsibility of the Company.
- ii. We have followed the audit practices and processes as were appropriate to obtain reasonable assurance about the correctness of the contents of the record. The verification, wherever deemed appropriate, was done. We believe that the process and practices we followed provide a reasonable basis for our opinion.
- iii. During the course of the issuance of this certificate, none of the information has been shared with us by the Company which can be in the nature of Unpublished Price Sensitive Information.

Place: Kolkata
Date: 14.10.2024

For **Agarwal A & Associates**
Company Secretaries

**AJAY KUMAR
AGARWAL**

Digitally signed by AJAY KUMAR AGARWAL
DN: c=IN, o=Personal,
pseudoym=7872D929809CD011FA08D5377EA
75C,
2.5.4.20=0c0f8d974e5afda70431a089ed468d4e6
954a4a13c979c79c9984759d7
postalCode=700136, st=West Bengal,
serialNumber=088D0AFFF0A186C0AC1E18C0297
E8BA30DA209EC699588C86899A12ECC9D,
cn=AJAY KUMAR AGARWAL
Date: 2024.10.14 13:09:13 +05'30'

CS Ajay Kumar Agarwal
Proprietor
(C.P.No.13493/M.No.F7604)
PEER REVIEW NO. 1592/2021

UDIN NO.: F007604F001543402