

TONGANI TEA COMPANY LIMITED

CIN : L01132WB1893PLC000742

12th October, 2023

The Secretary
The Calcutta Stock Exchange Ltd.
7, Lyons Range,
KOLKATA – 700 001.

Re : Compliance Certificate for the quarter ended September, 2023

Dear Sir,

Pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, we are submitting herewith Certificate dated 12-10-2023, issued by Practising Company Secretary, for the quarter ended 30th September, 2023.

We trust that you will find the same in order.

Thanking you,

Yours faithfully,
For TONGANI TEA COMPANY LIMITED

ARINDAM ROYCHOWDHURY
Company Secretary & Compliance Officer

Encl.: As above.



(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

This report has to be read with Annexure-I which is annexed therewith and forms an integral part of this report.

For **Agarwal A & Associates**
Company Secretaries

Digitally signed by AJAY KUMAR AGARWAL
DN: c=IN, o=PERSONAL,
pseudonym=85afb3d57913692b95cc6d2dca
37564d,
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33CF4350E331AE6A4CEB8588E417F247,
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serialNumber=a9bbdd4ffb9a36ec6c5e18c92
97e8ba3d0a2905ce69895bb036899a12ecc09
d, cn=AJAY KUMAR AGARWAL
Date: 2023.10.12 14:56:27 +05'30'

CS Ajay Kumar Agarwal
Proprietor
(C.P.No.13493/M.No.F7604)
PEER REVIEW NO. 1592/2021

UDIN NO.: F007604E001277917

Annexure-I

Our compliance certificate of even date is to be read along with this letter:

- i. Maintenance of Structural Digital Database (SDD) is the responsibility of the Company.
- ii. We have followed the audit practices and processes as were appropriate to obtain reasonable assurance about the correctness of the contents of the record. The verification, wherever deemed appropriate, was done. We believe that the process and practices we followed provide a reasonable basis for our opinion.
- iii. During the course of the issuance of this certificate, none of the information has been shared with us by the Company which can be in the nature of Unpublished Price Sensitive Information.

Place: Kolkata
Date: 12/10/2023

For **Agarwal A & Associates**
Company Secretaries

**AJAY
KUMAR
AGARWAL**

Digitally signed by AJAY KUMAR AGARWAL
DN: c=IN, ou=PERSONAL,
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serialNumber=af8bdc5d4ff8a36ec9c5e18c9
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9d, cn=AJAY KUMAR AGARWAL
Date: 2023.10.12 14:59:55 +05'30'

CS Ajay Kumar Agarwal

Proprietor

(C.P.No.13493/M.No.F7604)

PEER REVIEW NO. 1592/2021

UDIN NO.: F007604E001277917