

TONGANI TEA COMPANY LIMITED

CIN : L01132WB1893PLC000742

9th January, 2024

The Secretary
The Calcutta Stock Exchange Ltd.
7, Lyons Range,
KOLKATA – 700 001.

Re : Compliance Certificate for the quarter ended December, 2023

Dear Sir,

Pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, we are submitting herewith Certificate dated 09-01-2024, issued by Practising Company Secretary, for the quarter ended 31st December, 2023.

We trust that you will find the same in order.

Thanking you,

Yours faithfully,
For TONGANI TEA COMPANY LIMITED

ARINDAM ROYCHOWDHURY
Company Secretary & Compliance Officer

Encl.: As above.



Agarwal A & Associates
Company Secretaries

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 31TH DECEMBER, 2023
(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, CS AJAY KUMAR AGARWAL, Proprietor of Agarwal A & Associates Practicing Company Secretaries appointed by Tongani Tea Company Limited ('the Company'), am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture one number of events during the quarter ended and has captured one number of the said required events.

I would like to confirm that there were no non-compliance(s) observed in the previous quarter.

This report has to be read with Annexure-I which is annexed therewith and forms an integral part of this report.

Place: Kolkata
Date: 09/01/2024

For **Agarwal A & Associates**
Company Secretaries

**AJAY
KUMAR
AGARWAL**

Digitally signed by AJAY KUMAR AGARWAL
DN: cn=IN, o=PERSONAL,
pseudoym=85af8d57913692b95ccd62dc
a3756a4,
2.5.4.20=5D80109FFEDCF4652AFEB28C71
EC3E3F455E25116E6A4C7E8198E8117D
47, postalCode=700136, st=WEST BENGAL,
serialNumber=a9bbd44b9a30ed09c8e18c
937e8ba30dc909ee69955a0d899a12e
cc09d, cn=AJAY KUMAR AGARWAL
Date: 2024.01.09 11:37:02 +05'30'

CS Ajay Kumar Agarwal
Proprietor
(C.P.No.13493/M.No.F7604)
PEER REVIEW NO. 1592/2021

UDIN NO.:F007604E003155155

Annexure-I

Our compliance certificate of even date is to be read along with this letter:

- i. Maintenance of Structural Digital Database (SDD) is the responsibility of the Company.
- ii. We have followed the audit practices and processes as were appropriate to obtain reasonable assurance about the correctness of the contents of the record. The verification, wherever deemed appropriate, was done. We believe that the process and practices we followed provide a reasonable basis for our opinion.
- iii. During the course of the issuance of this certificate, none of the information has been shared with us by the Company which can be in the nature of Unpublished Price Sensitive Information.

Place: Kolkata
Date: 09/01/2024

For **Agarwal A & Associates**
Company Secretaries

**AJAY
KUMAR
AGARWAL**

Digitally signed by AJAY KUMAR AGARWAL
DN: cn=AJAY KUMAR AGARWAL,
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postalCode=700136, st=WEST BENGAL,
serialNumber=#9b6d487b7a36cc9c5e18c92
97f6ba20a227696cc9895a0c5899a12acc09
d, cn=AJAY KUMAR AGARWAL
Date: 2024.01.09 11:38:01 +05'30'

CS Ajay Kumar Agarwal
Proprietor
(C.P.No.13493/M.No.F7604)
PEER REVIEW NO. 1592/2021

UDIN NO.:F007604E003155155